

Perpetual Limited ABN 86 000 431 827

Level 18 Angel Place 123 Pitt Street Sydney GPO Box 4172 Sydney NSW 2001 Australia DX 365 Sydney Telephone 02 9229 9000 Facsimile 02 8256 1461

3 September 2021

ASX Market Announcement Office ASX Limited 20 Bridge St SYDNEY NSW 2000

Dear Sir/Madam

Perpetual Limited (Perpetual) – Appendix 3Y- Change of Director's Interest Notice – Robert Adams

Please find attached an Appendix 3Y for Robert Adams.

The Appendix 3Y relates to:

- the vesting of Share Rights into restricted ordinary shares from the 2019 Variable Incentive equity grant of Share Rights to Mr Robert Adams. Details can be found in Perpetual's 2019 Remuneration Report. The offer was approved by shareholders at the 2019 Perpetual Limited AGM; and
- 2. the acquisition of units in the Perpetual Wholesale Plus Global Share Fund in the name of Robert Adams. A subsidiary company of Perpetual is the responsible entity of the Funds.

It is considered that the notification on the acquisition of units in the Perpetual Wholesale Plus Global Share Fund is voluntary as the notification does not relate to Perpetual Limited securities and therefore not a notifiable interest of a director as defined in the ASX Listing Rules and would not attract the application of ASX Listing Rule 3.19A.2.

Yours sincerely

Sylvie Dimarco
Company Secretary

Still Remaro

Rule 3.19A.2

# **Appendix 3Y**

## **Change of Director's Interest Notice**

Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.

Introduced 30/09/01 Amended 01/01/11

Name of entity	Perpetual Limited
ABN	86 000 431 827

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

Name of Director	Robert Adams
Date of last notice	12 November 2020

#### Part 1 - Change of director's relevant interests in securities

In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

Direct or indirect interest	Direct Interest	
Nature of indirect interest (including registered holder)  Note: Provide details of the circumstances giving rise to the relevant interest.		
Date of change	Vesting of Share Rights: 1 September 2021  Units: Interest disclosed voluntarily 13 August 2021	
No. of securities held prior to change	<ul> <li>Direct         <ul> <li>11,492 Perpetual Limited ordinary shares in the name of Mr Robert Adams.</li> <li>10,711 Perpetual Limited restricted ordinary shares.</li> <li>10,551 Share Rights held by Mr Robert Adams.</li> <li>54,426 Performance Rights held by Mr Robert Adams.</li> </ul> </li> <li>Indirect</li> </ul>	
	• 7,991 Perpetual Limited ordinary shares in the name of Portfolio	

<sup>+</sup> See chapter 19 for defined terms.

	Service SuperWrap – Personal Super Plan (superannuation fund)  1,807 Perpetual Limited ordinary shares in the name of Trinafour Investments Pty Ltd (family trust).  514 Perpetual Limited ordinary shares in the name of Mrs Wendy Adams, the mother of Mr Rob Adams.	
	Interests disclosed voluntarily:	
	Direct	
	47,742.508 units in Perpetual Industrial Share Fund held in the name of Mr Robert Adams.	
	Indirect	
	<ul> <li>6,296 units in Perpetual Australian Share Fund in the name of Mrs Wendy Adams, the Mother of Mr Rob Adams.</li> <li>154,918.67 units in Perpetual Wholesale Industrial Share Fund in the name of Mrs Wendy Adams, the mother of Mr Rob Adams.</li> </ul>	
Class	<ul><li>Share Rights</li><li>Restricted ordinary shares</li><li>Units</li></ul>	
Number acquired	Direct	
	<ul> <li>10,551 Share Rights vested on 1 September 2021 into restricted ordinary shares.</li> <li>149,981.15 units in Perpetual Wholesale Plus Global Share Fund.</li> </ul>	
Number disposed	Nil	
Value/Consideration  Note: If consideration is non-cash, provide details and estimated valuation	<ul> <li>Direct</li> <li>Nil for 10,551 restricted ordinary shares.</li> <li>\$202,534 for 149,981.15 units in Perpetual Wholesale Plus Global Share Fund.</li> </ul>	
No. of securities held after change	11,492 Perpetual Limited ordinary shares in the name of Mr Robert Adams.     21,262 Perpetual Limited restricted ordinary shares.	

<sup>+</sup> See chapter 19 for defined terms.

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Units acquired in a managed investment

scheme.

	<ul> <li>54,426 Performance Rights held by Mr Robert Adams.</li> </ul>	
Indirect		
	<ul> <li>7,991 Perpetual Limited ordinary shares in the name of Portfolio Service SuperWrap – Personal Super Plan (superannuation fund).</li> <li>1,807 Perpetual Limited ordinary shares in the name of Trinafour Investments Pty Ltd (family trust).</li> <li>514 Perpetual Limited ordinary shares in the name of Mrs Wendy Adams, the mother of Mr Robert Adams.</li> </ul>	
	Interests disclosed voluntarily:	
	Direct	
	<ul> <li>47,742.508 units in Perpetual Industrial Share Fund held in the name of Mr Robert Adams.</li> <li>149,981.15 units in Perpetual Wholesale Plus Global Share Fund held in the name of Mr Robert Adams.</li> </ul>	
	Indirect	
	<ul> <li>6,296 units in Perpetual Australian Share Fund in the name of Mrs Wendy Adams, the Mother of Mr Robert Adams.</li> <li>154,918.67 units in Perpetual Wholesale Industrial Share Fund in the name of Mrs Wendy Adams, the mother of Mr Robert Adams.</li> </ul>	
Nature of change	Direct	
Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Vesting of Share Rights into restricted ordinary shares from the 2019 Variable Incentive equity grant of Share Rights to Mr Rob Adams. Details can be found in Perpetual's 2019 Remuneration Report.      Units acquired in a managed investment.	

<sup>+</sup> See chapter 19 for defined terms.

### Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

Detail of contract	Not applicable.
Nature of interest	
Name of registered holder (if issued securities)	
Date of change	
No. and class of securities to which interest related prior to change  Note: Details are only required for a contract in relation to which the interest has changed	
Interest acquired	
Interest disposed	
Value/Consideration Note: If consideration is non-cash, provide details and an estimated valuation	
Interest after change	

## Part 3 – \*Closed period

Were the interests in the securities or contracts detailed above	Not applicable
traded during a <sup>+</sup> closed period where prior written clearance was required?	
If so, was prior written clearance provided to allow the trade to proceed during this period?	Not applicable
If prior written clearance was provided, on what date was this provided?	Not applicable

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<sup>+</sup> See chapter 19 for defined terms.