

# Form 603

Corporations Act 2001  
Section 671B

## Notice of initial substantial holder

To Company Name/Scheme           **PROBIOTEC LIMITED (PBP)**  
ACN/ARSN                               **ACN 075 170 151**

### 1. Details of substantial holder (1)

Name                                   **PERPETUAL LIMITED and its related bodies corporate**  
ACN/ARSN (if applicable)       **000 431 827**

The holder became a substantial holder on   **28/02/2023**

### 2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Person's votes (5)	Voting power (6)
<b>Ordinary</b>	4,154,424	4,154,424	<b>5.1085%</b>

### 3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
<b>Refer to annexure B</b>		

### 4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
<b>Refer to annexure B</b>			

### 5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-cash	
<b>Refer to annexure A</b>				

**6. Associates**

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
Perpetual Investment Management Limited (PIML)	Related bodies corporate of Perpetual Limited

**7. Addresses**

The addresses of persons named in this form are as follows:

Name	Address
Perpetual Limited (PPT)	18/123 Pitt Street Sydney, NSW 2000
Perpetual Investment Management Limited (PIML)	18/123 Pitt Street Sydney, NSW 2000
PROBIOTEC LIMITED (PBP)	83 Cherry Lane, LAVERTON NORTH, VIC, AUSTRALIA, 3026

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**Signature**

print name      Sylvie Dimarco      capacity      Company Secretary

sign here      *Sylvie Dimarco*      date      02/03/2023

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## DIRECTIONS

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 7 of the form.
  - (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
  - (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
  - (4) The voting shares of a company constitute one class unless divided into separate classes.
  - (5) The total number of votes attached to all the voting shares in the company or voting interests in the scheme (if any) that the person or an associate has a relevant interest in.
  - (6) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
  - (7) Include details of:
    - (a) any relevant agreement or other circumstances by which the relevant interest was acquired. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
    - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).
- See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.
- (8) If the substantial holder is unable to determine the identity of the person ( eg. if the relevant interest arises because of an option) write "unknown".
  - (9) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.

**ANNEXURE A**

This is annexure 'A' referred to in Form 603, Notice of initial substantial holder, lodged for Perpetual Limited ACN 000 431 827

Sylvie Dimarco – Company Secretary, Perpetual Limited 02/03/2023

<b>Entity</b>	<b>Custodian</b>	<b>Date</b>	<b>Transaction Type</b>	<b>Consideration (Cash)</b>	<b>Class (Ordinary) and number</b>	<b>Person's vote affected</b>
PIML	HSBC Custody Nominees (Australia) Limited	2023-02-07	Purchase	562,500.00	250,000	250,000
PIML	HSBC Custody Nominees (Australia) Limited	2023-02-08	Purchase	1,474,242.90	650,000	650,000
PIML	HSBC Custody Nominees (Australia) Limited	2023-02-09	Purchase	96,081.21	41,779	41,779
PIML	HSBC Custody Nominees (Australia) Limited	2023-02-15	Purchase	11,392.47	5,000	5,000
PIML	HSBC Custody Nominees (Australia) Limited	2023-02-21	Purchase	628,948.80	273,456	273,456
PIML	HSBC Custody Nominees (Australia) Limited	2023-02-22	Purchase	30,280.50	13,458	13,458
PIML	HSBC Custody Nominees (Australia) Limited	2023-02-24	Purchase	639,888.55	272,293	272,293
PIML	HSBC Custody Nominees (Australia) Limited	2023-02-28	Purchase	11,135.52	4,884	4,884
PIML	HSBC Custody Nominees (Australia) Limited	2023-02-28	Purchase	17,413.17	7,671	7,671
PIML	HSBC Custody Nominees (Australia) Limited	2023-02-28	Purchase	1,968,266.40	855,768	855,768
PIML	National Nominees Pty Ltd	2023-02-21	Purchase	63,928.50	27,795	27,795
PIML	National Nominees Pty Ltd	2023-02-22	Purchase	3,075.75	1,367	1,367
PIML	National Nominees Pty Ltd	2023-02-24	Purchase	65,111.45	27,707	27,707
PIML	National Nominees Pty Ltd	2023-02-28	Purchase	1,770.60	780	780
PIML	National Nominees Pty Ltd	2023-02-28	Purchase	200,157.50	87,025	87,025

**ANNEXURE B**

This is annexure 'B' referred to in Form 603, Notice of initial substantial holder, lodged for Perpetual Limited ACN 000 431 827

Sylvie Dimarco – Company Secretary, Perpetual Limited 02/03/2023

<b>Holder of relevant interest</b>	<b>Registered holder securities</b>	<b>Persons entitled to be registered holder</b>	<b>Number of securities</b>	<b>Number of votes</b>
PIML	HSBC Custody Nominees (Australia) Limited	HSBC Custody Nominees (Australia) Limited	1,422,646	1,422,646
PIML	HSBC Custody Nominees (Australia) Limited	HSBC Custody Nominees (Australia) Limited	2,587,104	2,587,104
PIML	National Nominees Pty Ltd	National Nominees Pty Ltd	144,674	144,674